



UNITED STATES DEPARTMENT OF COMMERCE  
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|                    |                     |                       |                           |
|--------------------|---------------------|-----------------------|---------------------------|
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|

09/089,901 06/03/98 SH001

M YAMAF057505

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0252/0629

NOT ASSIGNED

2753

DATE MAILED:

06/29/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☐ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

☐ missing.

☒ insufficient.

Applicant must submit \$

such status (37 CFR 1.27).

to complete the basic filing fee and/or file a small-entity statement claiming

☐ 2. Additional claim fees of \$

including any multiple dependent claim fees, are required.

\$ for independent claims over 3.

\$ for dependent claims over 20.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

☐ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

09/089,901: A \$130.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

01: FR-105: Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825"

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts"

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202



YAMAP0575US

Sector #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Mamoru Shoji et al.

Serial No.: 09/089,901

Filed: June 3, 1998

:  
:  
: Art Unit: 2753  
:  
: Examiner:  
:

For: OPTICAL DISK APPARATUS AND METHOD FOR SETTING CONTROL  
PARAMETERS

RESPONSE

Box Missing Parts  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

This paper is responsive to the Notice To File Missing Parts mailed June 29, 1998 in the application noted above. A copy of such Notice is enclosed herewith. Also enclosed is an executed Combined Declaration and Power of Attorney and an Assignment along with an Assignment Recordation Cover Sheet. Applicants respectfully request recordation of the Assignment. A check in the amount of \$170.00 is enclosed to cover the costs associated for the filing of these papers.

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 18-0988; 37 CFR 1.16(a), (f) or (g) (filing fees); 37 CFR 1.16(b), (c) and (d) (presentation of extra claims); 37 CFR 1.16(e) (surcharge for filing the basic

REST AVAILABLE

filing fee and/or declaration on a date later than the filing date of the application);  
and 37 CFR 1.17 (application processing fees).

Respectfully submitted,

RENNER, OTTO, BOISSELLE & SKLAR, P.L.L.

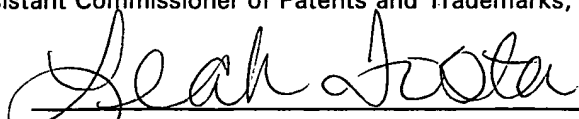
By   
Mark D. Saralino  
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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date: August 28, 1998

  
Leah Foster